

Clinical and laboratory observations

Decreased gas flow through pneumothoraces in neonates receiving high-frequency jet versus conventional ventilation

Felipe Gonzalez, M.D., Thomas Harris, M.D., Philip Black, M.D., and Peter Richardson, Ph.D.

From the Departments of Pediatrics, University of Utah School of Medicine, Salt Lake City, Temple University School of Medicine, Philadelphia, and Baylor College of Medicine, Houston

The incidence of pulmonary air leaks in infants receiving conventional mechanical ventilation for severe respiratory failure associated with severe pulmonary diseases is in the range of 25% to 41%.¹ Treatment of air leaks consists primarily of reducing ventilator pressure and volumes,² but this most often necessitates the use of increased inspired oxygen concentrations. For infants already receiving 100% oxygen, alternative methods of ventilation must be explored. We hypothesized that high-frequency jet ventilation would result in similar arterial blood gas values at lower mean airway pressures and thereby reduce the rate of leakage through catheters placed to relieve persistent pneumothoraces. This hypothesis was tested in a series of six infants with continuously bubbling chest tubes.

METHODS

The six infants all had severe pulmonary diseases and were given HFJV as part of a separate study investigating the efficacy of HFJV in infants whose condition failed to

Supported by a grant from Eccles Research Foundation.

Submitted for publication June 27, 1986; accepted October 21, 1986.

Reprint requests: Felipe Gonzalez, M.D., Department of Pediatrics, University Medical Center, 50 N. Medical Dr., Salt Lake City, UT 84132.

stabilize with conventional modes of assisted ventilation. The infants all had persistent air leak through pneumothoraces continuously evacuated via chest tubes; all were receiving ventilation with high \bar{P}_{aw} and 100% oxygen using pressure-limited time-cycled ventilators (Bourns BP200, Bear Medical Systems, Inc., Riverside, Calif.). HFJV was administered using a Bunnell Life Pulse Jet Ventilator (Bunnell Inc., Salt Lake City). Tracheal airway pressures were monitored through a triple-lumen Hi-Lo jet endotracheal tube (National Catheter Co., Mallinckrodt

HFJV	High-frequency jet ventilation
\bar{P}_{aw}	Mean airway pressure
A-aDO ₂	Alveolar to arterial oxygen difference
PaO ₂	Arterial oxygen pressure
PaCO ₂	Arterial carbon dioxide pressure

Inc.—NCC Division, Glens Falls, N.Y.), using the BLS1 monitor having a frequency response, including endotracheal tube, flat to within $\pm 5\%$ in amplitude and $\pm 5\%$ in phase to frequencies above those used for the patients.

The rate of flow through the chest tubes was measured by collecting the escaping gas in a low-resistance small-volume spirometer (Collins II, Warren E. Collins Inc., Braintree, Mass.). Each measurement was repeated three times, and the results averaged. Differences in repeated

Table. Ventilator settings and arterial blood gas results in six infants

	Conventional ventilation	High-frequency ventilation
Inspired oxygen (%)	100	100
Ventilator rate (breaths/min)	60 ± 6	420 ± 44*
Peak inspiratory pressure (cm H ₂ O)	41 ± 3	28 ± 3*
Positive end-expiratory pressure (cm H ₂ O)	3.9 ± 0.6	3.7 ± 0.6
Mean airway pressure (cm H ₂ O)	15.0 ± 1.4	9.7 ± 0.7*
PaO ₂ (mm Hg)	49 ± 5	44 ± 3
Paco ₂ (mm Hg)	43 ± 71	34 ± 6*
A-aDO ₂ (mm Hg)	485 ± 15	503 ± 12

Values represent mean ± SE.
*P < 0.05.

measurements never varied by more than 5%. On completion of air leak flow rate determinations, HFJV was started, and the infants were allowed to stabilize for at least 1 hour, but never longer than 2 hours. The rate of leakage was then determined on HFJV. Heart rate, arterial blood pressure, and transcutaneous PO₂ and PCO₂ were continuously monitored, and were unaffected by the flow rate measurements.

Arterial blood gas determinations were made prior to the flow rate measurements. As an estimate of severity of disease, the alveolar-arterial oxygen differences were calculated. Paired t tests were used for statistical inferences; differences yielding P < 0.05 were considered significant.

The study protocol was approved by the Institutional Review Board, and informed written consent was obtained from each infant's parents before entry into the study.

RESULTS

Ventilator settings at the time of pulmonary air leak rate determinations are listed in the Table. The average \bar{P}_{aw} during HFJV was 30% less than during conventional ventilation. Decreases in \bar{P}_{aw} were achieved primarily by decreases in peak inspiratory pressure.

PaO₂ and calculated A-aDO₂ values were not significantly different before and after HFJV, even though \bar{P}_{aw} was lower during HFJV than during conventional ventilation. Paco₂ values were significantly lower during HFJV.

Mean flow rate decreased from 227 ± 96 mL/min (mean ± SE) during conventional ventilation to 104 ± 59 mL/min during HFJV (Figure). Three infants had a large air leak and three a small leak during conventional ventilation, but the percentage of decrease in flow obtained during HFJV, in relation to the initial leak, was comparable for each individual patient.

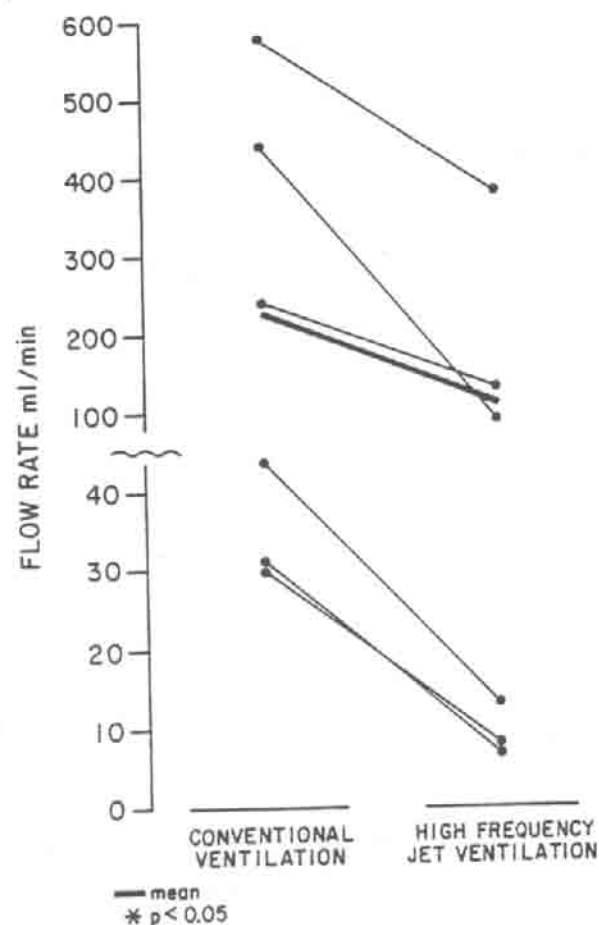


Figure. Flow rates through chest tubes in infants with pneumothoraces.

DISCUSSION

The replacement of conventional mechanical ventilation with HFJV resulted in decreases in \bar{P}_{aw} , Paco₂, and rate of pulmonary air leakage. Similar changes in \bar{P}_{aw} and Paco₂ have been observed by other investigators.^{3,4} HFJV has been used to reduce leakage from bronchopulmonary fistulas in adult patients,⁵⁻⁸ but to our knowledge has not been reported previously as a means of reducing the rate of leakage in infants with active pneumothoraces.

Determining the mechanism of decreased pulmonary air leakage with HFJV was not considered in the design of this study. However, we speculate that alterations in the distribution of ventilation⁹ resulting from the short inspiratory times used during HFJV may have contributed to the observed decreases in leakage flow. Air leaks in premature infants occur most commonly at the alveolar bases or ducts,² and then dissect along airways and large vessels to reach the mediastinum and rupture into the pleural space.¹⁰ In the process, some of the airways are compressed

by the gas that has dissected along or around them, reducing their radius and increasing their flow resistance. If very high rates and short inspiratory times occur in this situation, as in HFJV, turbulence and high resistance to flow become factors, resulting in preferential ventilation of areas with the least resistance, away from damaged areas producing the leak.

The extremely short inspiratory times used in HFJV (as low as 0.02 sec, or 20 msec) also represent a shorter duration of positive pressure applied to points of rupture. The size of the leak increases during the positive pressure phase of inspiration, and because flow varies with the square of the cross-sectional area of a hole, a small increase in the hole caused by even minimal increases in peak and mean airway pressure are likely to cause relatively large increases in leak.^{8,9} Furthermore, inasmuch as P_{aw} determined in the trachea of these patients was less during HFJV, we can assume that mean alveolar pressure was also less. This results in a decreased pressure differential between leaky alveoli and pleural space, reducing the pressure head driving gas through the pneumothorax.

We conclude that this study has demonstrated HFJV to be an effective alternative to conventional ventilation for decreasing the rate of air leakage through pneumothoraces in infants with severe pulmonary disease. We believe a randomized controlled study to compare this new method with the old in cases of persistent airleak, such as bronchopleural fistulas and pulmonary interstitial emphysema developing early in acutely ill neonates requiring assisted ventilation, is now indicated.

REFERENCES

1. Madansky DL, Lawson EE, Chernick V, Taeusch HW. Pneumothorax and other forms of pulmonary air leak in newborns. *Am Rev Respir Dis* 1979;120:729-37.
2. Thibeault DW. Pulmonary barotrauma: interstitial emphysema, pneumomediastinum, and pneumothorax. In: Thibeault DW, Gregory GA, eds. *Neonatal pulmonary care*. 2nd ed. New York: Appleton-Century-Crofts, 1986:499-517.
3. Pokora T, Bing D, Mammel M, et al. Neonatal high-frequency jet ventilation. *Pediatrics* 1983;72:27-32.
4. Carlo WA, Chatburn RL, Martin RJ, et al. Decrease in airway pressure during high-frequency jet ventilation in infants with respiratory distress syndrome. *J PEDIATR* 1984;104:101-7.
5. Carlon G, Kahn R, Howland W, et al. Clinical experience with high frequency ventilation. *Crit Care Med* 1981;9:1-6.
6. Turnbull AD, Carlon G, Howland WS, et al. High frequency jet ventilation in major airway or pulmonary disruption. *Ann Thorac Surg* 1981;32:468-74.
7. Derderian SS, Rajagopal KR, Albrecht PH, et al. High frequency positive pressure jet ventilation in bilateral bronchopleural fistulae. *Crit Care Med* 1982;10:119-21.
8. Ritz R, Benson M, Bishop MJ. Measuring gas leakage from bronchopleural fistulas during high-frequency jet ventilation. *Crit Care Med* 1984;12:836-7.
9. Briscoe WA, Cournand A. Uneven ventilation of normal and diseased lungs studied by an open circuit method. *J Appl Physiol* 1959;14:284.
10. Macklin MT, Macklin CC. Malignant interstitial emphysema of the lung and mediastinum as an important occult complication in many respiratory diseases and other conditions: an interpretation of clinical literature in light of laboratory experiment. *Medicine* 1944;23:281.